



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/920,426	07/31/2001	James Clarke	3399P051	5911

26529 7590 12/28/2004

BLAKELY SOKOLOFF TAYLOR & ZAFMAN/PDC  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES, CA 90025

EXAMINER
----------

LEZAK, ARRIENNE M

ART UNIT	PAPER NUMBER
----------	--------------

2143

DATE MAILED: 12/28/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/920,426

Applicant(s)

CLARKE ET AL.

Examiner

Arrienne M. L. zak

Art Unit

2143

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 July 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 8/13/02 & 3/3/04.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1, 14, 15 & 24-26 are rejected under 35 U.S.C. 102(e) as being anticipated by US Patent US 6,650,619 B1 to Schuster.

3. Regarding Claims 1, 14, 15 & 24-26, Schuster discloses, a method, system and computer-readable medium for operating an intermediary network/Internet, (per pending Claim 15), node, the method comprising:

- a processor, (Col. 6, lines 25-39 & Col. 7, lines 37-62);
- a data communication device coupled to the processor to communicate data between the processing system and a plurality of other nodes on the network, (Col. 5, lines 45-48; Col. 6, lines 25-39; & Col. 7, lines 37-62);
- a storage facility coupled to the processor and storing instructions, for execution by the processor, (Col. 6, lines 25-39 & Col. 7, lines 37-62), which configure the processing system to execute a process to proxy requests and responses on behalf of the plurality of other nodes on the

network, (Col. 10, lines 54-67; Col. 11, lines 1-12; & Fig. 3), the process including;

- using the intermediary network node to proxy requests and responses to requests between a plurality of clients and a plurality of servers, (Col. 8, lines 23-51; Col. 10, lines 54-67; Col. 11, lines 1-12; & Fig. 3), wherein at least one of the clients is a mobile client operating on a wireless network, (Col. 5, lines 34-44), and at least one of the servers operates on a landline network, (Col. 6, lines 25-27), the intermediary network node coupled between the wireless network and the landline network, (Col. 6, lines 25-39);
- using the intermediary network node to convert messages between a wireless protocol used by the wireless network and a second protocol used by the landline network, (Col. 6, lines 25-39);
- using the intermediary network node to detect network congestion on at least the landline network, (Col. 4, lines 60-67; Col. 5, lines 1-4; Col. 13, lines 4-67; & CO. 14, lines 1-47); and
- determining, in the intermediary network node, when a request received by the intermediary network node from one of the clients destined for one of the servers should not be forwarded by the intermediary network node, based on network congestion detected by the intermediary network node, (Col. 14, lines 41-58).

Therefore Schuster is found to reasonably teach or discribe every element or claim limitation of Claims 1, 14, 15 & 24-26.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 2-13 & 16-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combined teachings of US Patent US 6,650,619 B1 to Schuster in view of US Patent US 6,446,108 B1 to Rosenberg.

6. Regarding Claims 2 & 16, Schuster is relied upon for those teachings disclosed herein. Though Schuster discloses a signaling/message/flow control system, Schuster does not specifically teach the use of specific-type messages, namely a message flow control algorithm. Rosenberg discloses use of a message flow control algorithm, (Roesenberg - Col. 3, lines 34-67 & Col. 4, lines 1-45). It would have been obvious to one of ordinary skill in the art at the time of invention by Applicant to incorporate the Rosenberg flow control algorithm in to the Schuster system, as once the signaling server learns of the state of congestion, it may instruct the registered gateways to reduce the number of signaling messages that they send, (Schuster - Col. 4, lines 26-59), which instruction would obviously include some sort of the "back-off" algorithm, especially in light of Schusters "emergency mode" wherein a gateway messaging is

limited, or obviously eliminated in severe emergency congestion situations. Thus, Claims 2 & 16 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

7. Regarding Claims 3-6 & 17, Schuster alone and in view of Rosenberg is relied upon for those teachings disclosed herein. Schuster further discloses using the intermediary node to detect congestion on the network, (per pending Claim 3), (Schuster - Col.13, lines 10-37), wherein detecting congestion on the network would obviously be based on a back-off message, (control signal), received by the intermediary node from an upstream node on the network, (per pending Claims 4 & 17), (Schuster - Col. 13, lines 31-37). Moreover, Rosenberg discloses wherein the back-off message obviously specifies one or more back-off criteria, (per pending Claim 5), and the necessary metadata indicating applicability of the back-off message, (per pending Claim 6), (Rosenberg – Col. 3, lines 34-67 & Col. 4, lines 1-45). Thus, Claims 3-6 & 17 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

8. Regarding Claims 7 & 18, Schuster alone and in view of Rosenberg is relied upon for those teachings disclosed herein. Schuster further discloses detecting congestion on the network, wherein said detection could obviously be based on a failure by an upstream node on the network to respond to a request forwarded by the intermediary node, (Schuster - Col. 12, lines 51-67 & Col. 13, lines 1-37). Thus, Claims 7 & 18 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

9. Regarding Claims 8-12 & 19-22, Schuster alone and in view of Rosenberg is relied upon for those teachings disclosed herein. Schuster further discloses using the intermediary node to provide a (back-off) message indicating congestion on the network to a downstream node (client) on the network, when congestion on the network is detected, (per pending Claims 8 & 19), (Schuster - Col. 12, lines 51-67 & Col. 13, lines 1-37), wherein the message is obviously sent in response to the intermediary node receiving a request from the downstream device destined for an upstream node on the network, (per pending Claim 9), (Examiner notes that a state of congestion could obviously be caused by excessive bi-directional message signaling from any location on the network). Moreover, Rosenberg discloses wherein the message specifies a back-off criterion (algorithm) for use by any other node on the network, obviously including any downstream node, (per pending Claims 10 & 20), wherein the back-off criterion, (time-period – per pending Claims 12 & 22) is computed in the intermediary node, (per pending Claims 11 & 21), (Rosenberg – Col. 3, lines 34-67 & Col. 4, lines 1-45). Examiner notes that it would have been obvious to utilize the gatekeeper/gateway for purposes of algorithm application in light of its function as “brain” of the signaling system, (Schuster - Col. 6, lines 23-51). Thus, Claims 8-12 & 19-22 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

10. Regarding Claims 13 & 23, Schuster alone and in view of Rosenberg is relied upon for those teachings disclosed herein. Rosenberg further discloses using a back-off strategy to determine when a request received by the intermediary node should not be forwarded, and wherein the method further comprises adapting the back-off strategy

Art Unit: 2143

dynamically based on operation of the network, (Rosenberg – Col. 3, lines 34-67 & Col. 4, lines 1-45). Thus, Claims 13 & 23 are found to be unpatentable in light of the combined teachings of Schuster and Rosenberg.

**Conclusion**


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Arrienne M. Lezak whose telephone number is (571)-272-3916. The examiner can normally be reached on M-F 8:30-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A. Wiley can be reached on (571)-272-3923. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Arrienne M. Lezak  
Examiner  
Art Unit 2143

AML

  
DAVID WILEY  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100